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FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

47161-00031USPX

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.

(if known, see 37 CFR 1.5)

09/980430

INTERNATIONAL APPLICATION NO.  
PCT/NL00/00374

INTERNATIONAL FILING DATE  
May 31, 2000

PRIORITY DATE CLAIMED  
JUNE 1, 1999

TITLE OF INVENTION  
COIL CONSTRUCTION FOR AN ELECTROACOUSTIC TRANSDUCER

APPLICANT(S) FOR DO/EO/US

Aart Zeger Van Halteren; Engbert Wilmlink; Hendrik Dolleman; Paul Christiaan Van Hal

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto
  - b. ☒ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An **Unexecuted** oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - Notification of Transmittal of the International Preliminary Examination Report (PCT Rule 71.1); and
  - PCT International Preliminary Examination Report

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) **09/980430** INTERNATIONAL APPLICATION NO. **PCT/NL00/00374** ATTORNEY'S DOCKET NUMBER **47161-00031USPX**

21. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... **\$1,040.00**

International preliminary examination fee (37CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... **\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$740.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
And all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**CALCULATIONS PTO USE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$ 890.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492)(e)).

**\$**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	19 - 20 =	0	x \$ 18.00	\$
Independent Claims	5 - 3 =	2	x \$ 84.00	\$ 168.00

**\$**

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + **\$280.00**

**\$**

**TOTAL OF ABOVE CALCULATIONS =**

**\$**

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are  
reduced by 1/2.

**\$**

**SUBTOTAL**

**\$**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30  
Months from the earliest claimed priority date (37 CFR 1.492(f)).

**\$**

**TOTAL NATIONAL FEE =**

**\$**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
Accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

**\$**

**TOTAL FEES ENCLOSED =**

**\$ 1,058.00**

**Amount to be  
Refunded:** **\$**

**Charged:** **\$**

- a. ☒ A check in the amount of **\$1,058.00** to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 10-0447 (47161-00031USPX) in the amount of **\$0.00** to cover the above fees.  
(A duplicate copy of this sheet is enclosed.) **DEFICIENCIES ONLY!**
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit  
Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information  
should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))  
must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

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**SIGNATURE**

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